

STARTUP
CAMPUS

DEGGENDORF
INSTITUTE OF
TECHNOLOGY **DIIT**

EXIST-Forschungstransfer



Contents

FUNDING STRUCTURE.....3

FUNDING PHASE 1 - A THIRD PARTY PROJECT.....4

FUNDING PHASE 1 - HOW IS FUNDING PROVIDED?5

FUNDING PHASE 2 - THE OPPORTUNITY FOR SPIN-OFFS6

FUNDING PHASE 2 - HOW IS FUNDING PROVIDED?7

SPECIAL FEATURES OF EXIST RESEARCH TRANSFER8

APPLICATION / TIMETABLE.....8

STARTUP CAMPUS - SUPPORT BY:.....9

NEXT STEPS9

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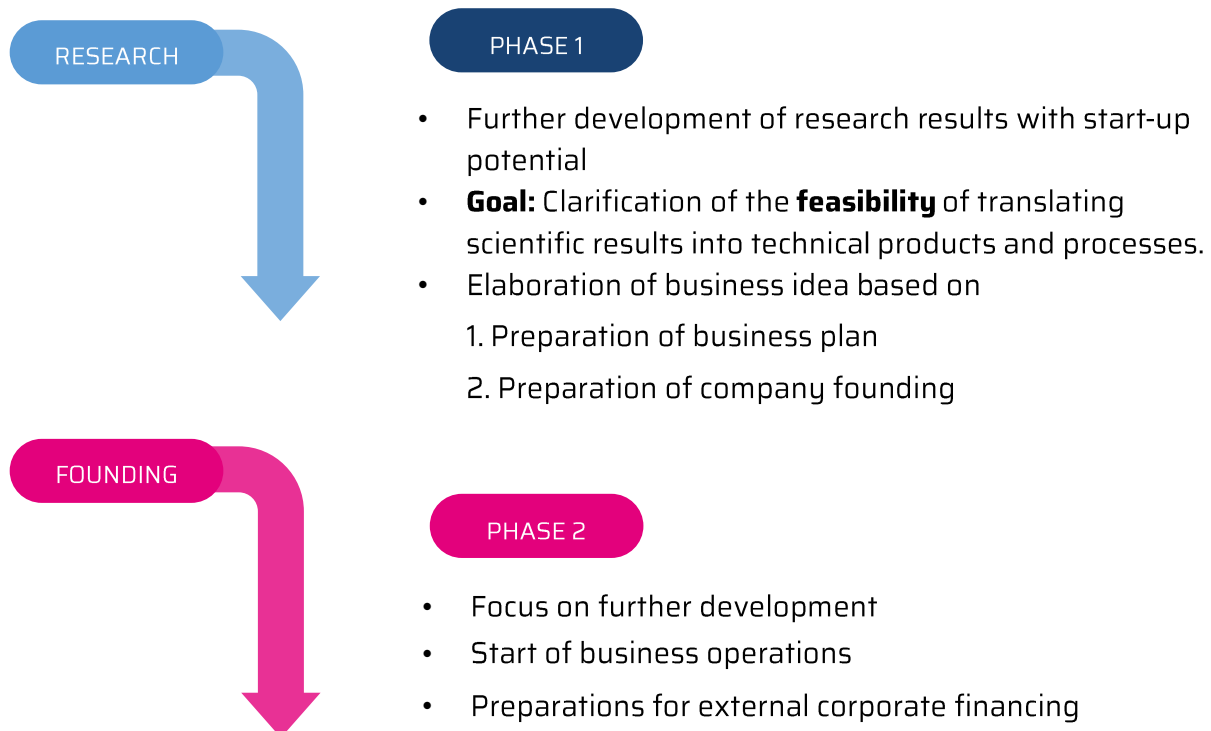
Insight into the funding programme: EXIST Research Transfer

1. **Third-party funded project** with **100% funding quote** and possibility of funded spin-off of the research project.
2. Broad and open funding area with **technological research fields**
3. **Funding amount: €450,000 - €1.2 million** (increase possible)

EXIST Research Transfer is a funding programme aimed at research teams from universities as well as non-university research institutions. The focus is on the transfer of high-risk innovation ideas and opportunities from science to independent companies in the economy.

FUNDING STRUCTURE

Structure of the different phases



EXIST RESEARCH TRANSFER: An opportunity for universities

The funding programme is particularly interesting for universities. Interdisciplinary teams can conduct research into specific areas of application at technology-driven locations and explore market prospects and, if the market prospects are positive, set-up their own company.

Larger teams are supported, which are employees of the university in the first phase. The financing of staff positions is secured and the opportunity for a perspective development of the region with the settlement of possible spin-off companies is supported.

FUNDING PHASE 1 – A THIRD PARTY PROJECT

Eligibility, goals

PHASE 1



WHO is eligible for funding:

- a. Research teams at universities
- b. Non-university research institutions

Team size: maximum of **three scientists** and **technical assistants** and **one person** with **business management expertise**. SHK positions are additionally possible.

Goals:

- Carrying out development work until technical feasibility has been demonstrated.
- Development of prototypes
- Elaboration of business plan

The funding is divided into two phases: a research-based phase for the validation of the research field and a second phase for the funded spin-off of the innovation into a company. In the first phase, various types of costs are funded. In the case of personnel costs, fixed rates of the respective institution must be adhered to. These can be obtained from the personnel offices.

FUNDING PHASE 1 - How is funding provided?

Type of funding

PHASE 1

1. Staff expenses / costs

2. Material expenses / costs:

- Articles of daily use
- Consumables
- Capital goods
- Protective rights / market research
- Contracts / coaching measures
- Student assistants can also be funded
- Material resources and SHKs eligible for funding up to **€250,000** (increase possible)

Funding amount: €450,000 - €1.2 million (guideline)

Funding rate: 100% (90% if applicable, for non-university institutions)

Funding period:

- a. In principle up to **18 months**
- b. Highly innovative and very time-consuming development project **up to 36 months**
(explicit approval of the expert jury required)

Seminar: "Founder team"



After the validation phase:

Once the validation of the research work is complete and the concept for exploitation is in place, a funded company can be spun off in phase 2. Now previous project members have the opportunity to test their innovation on the market and improve it further.

FUNDING PHASE 2 - The opportunity for spin-offs

Eligibility and goals

PHASE 2

Who is eligible:

Small technology-oriented **corporations**

1. (Capital contribution of at least €25,000)
2. Founded in funding phase I
 - Critical: Contribution of essential know-how carrier from funding phase I with knowledge and manpower
 - Representation by at least **one person in the management level**
 - Proportionate distribution of the business shares clearly more than 50% owned by the founders working in the company

Aims:

- Further development
- Start of business activities in the newly founded technology company
- Creation of the prerequisites for external company financing

Change of the grant recipient:

The second phase is also funded, here the grantee changes from the university in phase 1 to the founded company in phase 2. The funds are a non-repayable grant and do not have to be paid back.

FUNDING PHASE 2 – How is funding provided?

Type of funding

PHASE 2

Funding in the form of:

- **Non-repayable grant of up to €180,000**

Prerequisite for funding:

- Provision of **own funds and, if applicable, equity capital in a ratio of 1:3**
- E.g. maximum funding €180,000 and €60,000 from own funds

Funding rate: 75% - > The rest could be provided, e.g. by a Technology Campus as shareholding

Funding period: up to 18 months

After the initial financial support of the company, further financing with external capital providers is important to ensure the liquidity of the company in the start-up phase. This phase is critical and requires sufficient lead time, resources and planning.

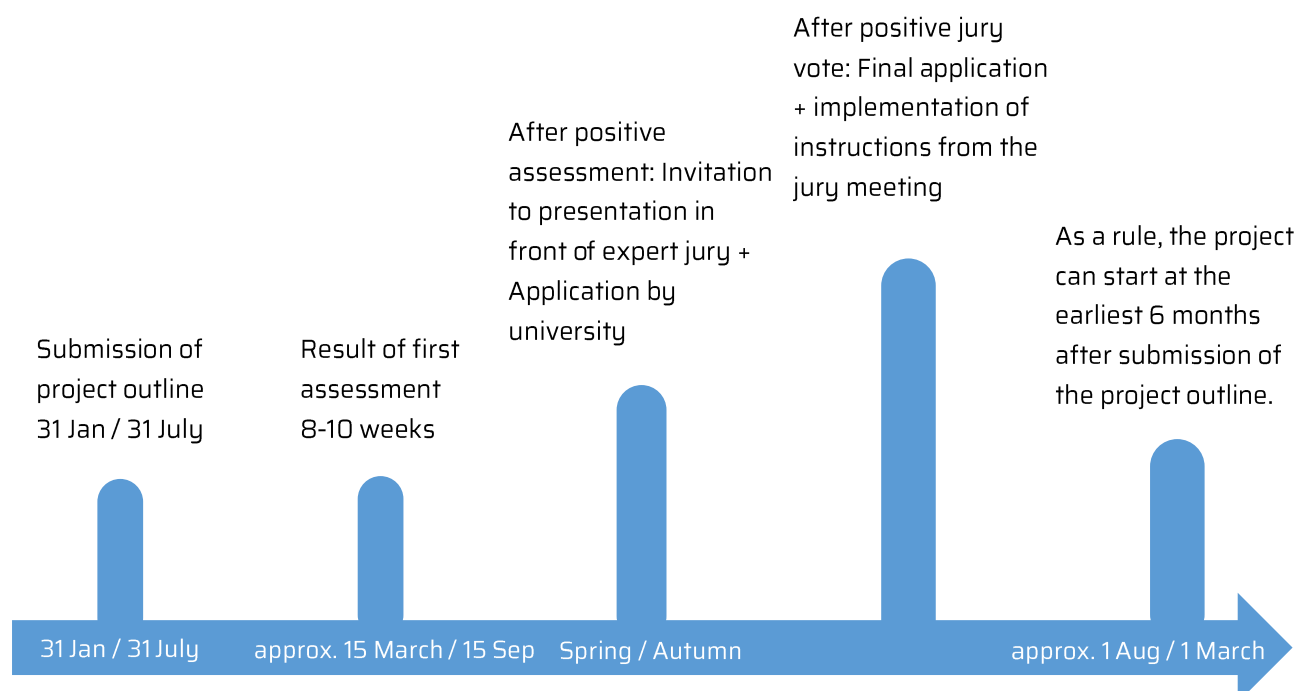
SPECIAL FEATURES OF EXIST RESEARCH TRANSFER

Why is this exciting for Technology Campuses?

- Recipient of funding phase I: **University**
- Funding recipients funding phase II: **Start-up**
- For example, **participation of TCs/ University** in start-up/ spin-off conceivable (possibly supported by the future Higher Education Act)
- Non-repayable grants
- **Founders are employees of the university in funding phase I** - Employee Invention Act applies
- Funding for **external people** possible
- **Application deadlines:** 1 until 31 January and 1 until 31 July of a calendar year
- Earliest possible start of funding **six months** after receipt of the complete application documents with a positive review
- **Founding** possible during the funding period
- **Approval rate** at **approx. 25%** (as of 2021)

APPLICATION / TIMETABLE

Deadlines and roadmap for the application



Quelle: [B-P-W-Exist](#)



STARTUP CAMPUS - SUPPORT BY:

Startup Campus as partner, we support with:

- **Questions** on eligibility, funding, etc.
- **Validation/ positioning** of the research field or business idea with regard to the level of innovation
- Help with initial project description (2 pages) -> Submission to project sponsor for feedback
- Finding a suitable **team constellation**
- **Application** incl. max. 25-page project outline and work plan
- Organisational **implementation** of the project
- **IP-relevant** issues (IP strategy)
- Office and meeting rooms
- Participation in suitable **workshops** and other funding measures
- **1 to 1 support**
- **Networking** with relevant network partners and investors

NEXT STEPS

Please contact us if you have any questions or you are interested!

Contact us now and promote your research!

We look forward to hearing from you!

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