

The present English translation of the official document „Open-Access-Policy der Technischen Hochschule Deggendorf (THD)“ is not legally binding and serves for information purposes only.

## Open Access Policy of the Deggendorf Institute of Technology (DIT)

### 1. Preamble

The Deggendorf Institute of Technology (DIT) supports the ideas and principles of the Berlin Declaration<sup>1</sup> and of the Budapest Open Access Initiative<sup>2</sup>, which aim to exploit the possibilities of the internet so as to make scientific knowledge and research results, insofar as their creation was enabled through public funds, freely accessible and transparent to society. With this aim in mind, DIT wishes to encourage its members and affiliated persons to publish in open-access mode and to archive their publications in repositories. This policy also applies to guest researchers, insofar as they intend to publish scientific work in connection with their role at DIT.

### 2. Principles

This Open Access Policy is based on the following: the Statutes for Safeguarding Good Scientific Practice and Dealing with Scientific Misconduct at the Deggendorf Institute of Technology<sup>3</sup>; the Code of Conduct “Guidelines for Safeguarding Good Scientific Practice” of the German Research Foundation (DFG)<sup>4</sup>; the “Recommendations on the Transformation of Academic Publishing: Towards Open Access” of the German Council of Science and Humanities<sup>5</sup>; the Commission Recommendation (EU) 2018/790 of April 25, 2018 on access to and preservation of scientific information<sup>6</sup>. It is also guided by the “Principles for Open Science”<sup>7</sup>.

This Open Access Policy will be implemented in consultation with the Institute’s relevant internal advisory organizational units/committees, including the Open Access Officer, the Ombudspersons, the Data Protection Officers, the DIT Library, the DIT Centre for Applied Research, and, if necessary, the “oa.helpdesk” of the “open-access.network.”

### 3. Open-access publication models

- 3.1. Gold: Initial publication takes place in open-access mode in an original open-access medium, such as an open-access journal. Such cases often involve so-called “article-processing charges” (APCs) which authors must pay in order to be published.
- 3.2. Hybrid: Here, initial publication usually takes places in a “closed-access” medium such as a subscription journal. However, an open-access option can be selected during the publication process so as to make the work available free of charge to a worldwide readership beyond the subscriber base, often subject to a publication fee.

<sup>1</sup> See <https://openaccess.mpg.de/Berliner-Erklaerung>.

<sup>2</sup> See <https://www.budapestopenaccessinitiative.org/read/german-translation/>

<sup>3</sup> See the current version under <https://www.th-deg.de/de/studierende/antraege-und-organisatorisches#hochschulrecht>

<sup>4</sup> See <https://wissenschaftliche-integritaet.de/>

<sup>5</sup> See <https://doi.org/10.57674/fyrc-vb61>

<sup>6</sup> See the German version under <http://data.europa.eu/eli/reco/2018/790/oj>

<sup>7</sup> See for example: *Empfehlung zu offener Wissenschaft/Open Science der Deutschen UNESCO-Kommission* (Recommendation on Open Science of the German UNESCO Commission), ISBN 978-3-947675-65-4 and/or [https://www.unesco.de/assets/dokumente/Deutsche\\_UNESCO-Kommission/02\\_Publikationen/DUK\\_Konventionstexte\\_OpenScience2024\\_web.pdf](https://www.unesco.de/assets/dokumente/Deutsche_UNESCO-Kommission/02_Publikationen/DUK_Konventionstexte_OpenScience2024_web.pdf)

- 3.3. Diamond: This is equivalent to the Gold Model, except that no article-processing charges (APCs) are billed to authors. Here, the costs for operating the journal are assumed by entities such as professional/technical associations or institutions.
- 3.4. Subscribe-to-Open (S2O): With S2O, existing subscribers to a subscription journal commit to continuing their subscription payments in the case of a transformation to an open-access model. The income normally recouped on the on the publisher's side means that APCs can generally be waived for authors.
- 3.5. Green: Secondary publication ("self-archiving") of planned or already published works takes place in institutional or disciplinary open-access repositories. Depending on the current legal environment and the respective publisher's self-archiving policy, this may take place before, concomitant to, or after the publisher's publication of the content. This is usually possible for scientific articles, but potentially also for monographs, research reports, or proceedings.

#### 4. Applicable scope

The Open Access Policy applies to all members and affiliated persons of DIT. The open-access publishing and archiving of publications referred to here is particularly meant to encompass research results that are usually released to the public by publishing houses or by equivalent structures of professional expertise and review (e.g. as the proceedings of professional associations and conferences, or as monographs and books contributed to anthologies).

#### 5. Guidelines

The academic administrators of Deggendorf Institute of Technology recommend that scientific papers/works be published in open-access mode. Accordingly, they have adopted the following guidelines:

- 5.1. DIT recommends to all of its members and affiliated person that they release their initial publications in open-access mode and under an open license (generally a Creative Commons License).
- 5.2. DIT emphatically recommends that the right of secondary publication be exercised as well. If no suitable disciplinary repository exists<sup>8</sup>, then one should use OPUS THD as an institutional repository<sup>9</sup> or some generic repository such as Zenodo<sup>10</sup>.
- 5.3. The intentional publication in predatory journals of substandard research results that would have no chance in peer-review journals may be sanctioned as a suspected case of scientific misconduct.
- 5.4. DIT recommends that open-access funding be applied for from all supra-regional research funding bodies such as the EU/European Research Council (ERC), the German Research Foundation (DFG, *Deutsche Forschungsgemeinschaft*) or the Federal Ministry of Education and Research (BMFTR, *Bundesministerium für Forschung, Technologie und Raumfahrt*) as well as from other funding bodies and foundations.

<sup>8</sup> See for example <https://openoar.ac.uk/search> as a search platform.

<sup>9</sup> See <https://opus4.kobv.de/opus4-thd/home>

<sup>10</sup> See <https://zenodo.org/>

- 5.5. Free access to publications will be financially subsidized with discounts on article-processing-charges (APCs) on the basis of institutional membership and/or the transformation agreements of DIT. As a rule, these discounts will be automatically granted by the publishing houses provided you have correctly stated your affiliation with DIT<sup>11</sup>. The assumption of publication costs by the Centre for Applied Research is possible only under the Gold Open-Access Model. To secure such a cost assumption, contact must be initiated before the respective submission is made<sup>12</sup> and the form *Beantragung der Übernahme von Gebühren für Open-Access-Publikationen* (Application for Assumption of Costs for Open-Access Publications)<sup>13</sup> must be filled out and handed in along with the submission. The costs of publishing in closed-access journals or in hybrid journals are generally not assumed.
- 5.6. DIT recommends to all members and affiliated persons of the Institute that they use the ORCID<sup>14</sup> identifier when publishing in their own name and the ROR ID<sup>15</sup>, respectively Ringgold ID<sup>16</sup>, for DIT .
- 5.7. THD enables the members and affiliated persons of DIT, as well as other researchers, to publish articles under the Diamond Open-Access Model and on a peer-review basis through the Bavarian Journal of Applied Sciences<sup>17</sup> and the Journal of Applied Interdisciplinary Research<sup>18</sup> .
- 5.8. The DIT library will assist the Institute's members and affiliated persons with any questions to do with open-access publishing.
- 5.9. DIT has appointed an Open Access Officer for purposes of implementing its Open Access Policy.

## 6. Validity

The Policy was adopted by the DIT University Management on 10 December 2025 and enters into force as of 1 January 2026.

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<sup>11</sup> See <https://www.th-deg.de/bib#open-science> under the heading "Open Access" and the subheading "DEAL UND ANDERE OPEN ACCESS VERTRÄGE DER THD".

<sup>12</sup> Please contact [forschung@th-deg.de](mailto:forschung@th-deg.de)

<sup>13</sup> See [https://intranet.th-deg.de/media/zaf/formularblatt\\_open\\_access\\_publicationen.pdf](https://intranet.th-deg.de/media/zaf/formularblatt_open_access_publicationen.pdf)

<sup>14</sup> See <https://orcid.org/>

<sup>15</sup> See <https://ror.org/02kw5st29>

<sup>16</sup> See <https://www.ringgold.com/> (the ID for DIT is 64381).

<sup>17</sup> See <https://jas.bayern/index.php/bjas>

<sup>18</sup> See <https://jas.bayern/index.php/jair>